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PTO/SB/05 (11-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))</small>		Attorney Docket No. 5199-5	
		First Inventor	James Dillon
		Title	Methods and Compositions for Protecting Against Cataract Development Associated with Vitrectomies
		Express Mail Label No.	ER 402409981 US

APPLICATION ELEMENTS
See MPEP chapter 600 concerning utility patent application contents.

1. ☒ **Fee Transmittal Form** (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ **Applicant claims small entity status.**
See 37 CFR 1.27.
3. ☒ **Specification** [Total Pages 53]
(preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ **Drawing(s)** (35 U.S.C. 113) [Total Sheets 22]
5. ☐ **Oath or Declaration** [Total Pages 2]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ **Application Data Sheet.** See 37 CFR 1.76

ADDRESS TO:
Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
 - a. ☐ Computer Readable Form (CRF)
 - b. Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
 - c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☒ Other: Patent Application Cover Sheet

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____

Prior application information: _____

Examiner: _____

Group Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label

30551

☐ Correspondence address below

(Insert Customer No. or Attach bar code label here)

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Name (Print/Type)	Todd A. Holmbo, Esquire	Registration No. (Attorney/Agent)	42,665
Signature			Date
			August 27, 2003

**FEE TRANSMITTAL
for FY 2002**

Patent fees are subject to annual revision.

Complete if Known

Application Number	Not Yet Assigned
Filing Date	August 27, 2003
First Named Inventor	James Dillon
Examiner Name	Not Yet Assigned
Group / Art Unit	Not Yet Assigned
Attorney Docket No.	5199-5

TOTAL AMOUNT OF PAYMENT (\$) 639**METHOD OF PAYMENT (check one)**

- 1.
- ☒
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit
Account
Number 02-4270Deposit
Account
Name Brown Raysman Millstein Felder & Steiner LLP

- ☒
- Charge Any Additional Fee Required
-
- Under 37 CFR 1.16 and 1.17
-
- ☒
- Applicant claims small entity status.
-
- See 37 CFR 1.27

- 2.
- ☐
- Payment Enclosed:

☐ Check ☐ Credit card ☐ Money
Order ☐ Other
FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	750	201	375	Utility filing fee	375
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					(\$375)

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
30	10!Un define d Bookm ark, TEXT1 27	18	180
Independent Claims	4	84	84
Multiple Dependent		102	264

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

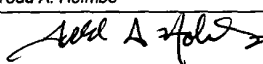
SUBTOTAL (2) (\$) 264

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)**3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	2051	65	Surcharge - late filing fee or oath	
127	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	1053	130	Non-English specification	
147	2,520	1812	2,520	For filing a request for reexamination	
112	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
115	110	2251	55	Extension for reply within first month	
116	410	2252	205	Extension for reply within second month	
117	930	2253	465	Extension for reply within third month	
118	1,450	2254	725	Extension for reply within fourth month	
128	1,970	2255	985	Extension for reply within fifth month	
119	320	2401	160	Notice of Appeal	
120	320	2402	160	Filing a brief in support of an appeal	
121	280	2403	140	Request for oral hearing	
138	1,510	1451	1,510	Petition to institute a public use proceeding	
140	110	2452	55	Petition to revive - unavoidable	
141	1,300	2453	650	Petition to revive - unintentional	
142	1,300	2501	650	Utility issue fee (or reissue)	
143	470	2502	235	Design issue fee	
144	630	2503	315	Plant issue fee	
122	130	1460	130	Petitions to the Commissioner	
123	50	1807	50	Processing fee under 37 CFR 1.17 (q)	
126	180	1806	180	Submission of Information Disclosure Stmt	
581	40	8021	40	Recording each patent assignment per property (times number of properties)	
146	750	2809	375	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	750	2810	375	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	750	2801	375	Request for Continued Examination -RCE	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify) _____					
*Reduced by Basic Filing Fee Paid					
SUBTOTAL (3)					(\$0)

SUBMITTED BY**Complete (if applicable)**

Name (Print/Type)	Todd A. Holmbo	Registration No. Attorney/Agent	42,665	Telephone	973-775-8919
Signature				Date	August 27, 2003

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Attorney Docket No.:
For:

James Dillon
5199-5
**METHODS AND COMPOSITIONS FOR
PROTECTING AGAINST CATARACT
DEVELOPMENT ASSOCIATED WITH
VITRECTOMIES**

163 Madison Avenue
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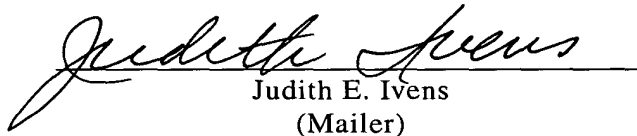
August 27, 2003

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

CERTIFICATION

I hereby certify that this paper, bearing Express Mailing Label No. ER402409981US, together with the accompanying papers directed to the above-identified Utility Patent Application are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and are addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Judith E. Ivens
(Mailer)